

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
	C. Bret Elzinga et al.	)
Serial No.:	10/632,892	))
Filed:	July 31, 2003	))
For: .	SYSTEMS AND METHODS FOR PROVIDING A DYNAMIC CONTINUAL IMPROVEMENT EDUCATIONAL ENVIRONMENT	))))

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 500843 of the undersigned.

Dated this 13th day of October, 2003

Respectfully submitted,

David B. Tingey Attorney for Applicant Registration No. 52,289

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DBT:jrj

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ALSO LICENSED TO PRACTICE IN CALIFORNIA
ALSO LICENSED TO PRACTICE IN CHINA

WILFORD W. KIRTON (1822-2000)

PATENT APPLICATION
Docket No: 6922.34

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement. Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- X Form PTO-1449 list of one (1) reference submitted for consideration.
- X Legible copies of the listed references or their relevant portions.
- All English translations of each nonenglish reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

 Concise	explanation	of	relevance	of	each	reference	not	in	English	and
unaccom	panied by an	lish translat	ion.							

	Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.					
	Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.					
In order to secure consideration of the items designated above, one or more of the following if required, is also enclosed:						
	Promptness Certification.					
	Check No in the amount of \$ constituting submission fee see 37 C.F.R. § 1.17(p)					
	Petition for Consideration and Check No in the amount of \$ see 37 C.F.R. § 1.17(i)(1).					
<u>X</u>	In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 500843 of the undersigned.					
DATE	D this 13th day of October 2003.					
	Respectfully submitted,					

X and T.

David B. Tingey Attorney for Applicant Registration No. 52,289

KIRTON & McCONKIE 1000 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111 Telephone: (801) 533-9800 Facsimile: (801) 321-1707 Form PTO-1449 Sheet 1 of 2

Applicant:

C. Bret Elzinga et al.

Att'y Docket No. 6922.34

Serial No.: Filing Date:

10/632,892 July 31, 2003

For:

SYSTEMS AND METHODS FOR PROVIDING A DYNAMIC CONTINUAL

IMPROVEMENT EDUCATIONAL ENVIRONMENT

OCT 1 5 2003 E

# INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

### U.S. Patent Documents

Examiner <u>Initial*</u>	Document Number	Issue/Publ. <u>Date</u>	<u>Name</u>	Class	Sub Class	Filing <u>Date</u>
A1.	6,077,085	06/20/00	Parry et al.	434	322	05/19/98
A2.	5,957,667	09/28/99	Parikh et al.	417	362	06/14/96
A3.	5,797,754	08/25/98	Griswold et al.	434	322	01/09/97
A4.	5,788,508	08/04/98	Lee et al.	434	350	06/07/95
A5.	5,597,312	01/28/97	Bloom et al.	434	362	05/04/94
A6.	5,503,560	04/02/96	Stentiford	434	167	07/25/89
A7.	5,486,111	01/23/96	Watkins	434	157	01/04/94
A8.	5,442,782	08/15/95	Malatesta et al.	395	600	08/13/93
A9.	5,441,415	08/15/95	Lee et al.	434	350	12/06/93
A10.	5,395,243	03/07/95	Lubin et al.	434	118	06/20/94
A11.	5,387,104	02/07/95	Corder	434	156	02/07/94
A12.	5,318,450	06/07/94	Carver	434	336	11/22/89
A13.	5,316,485	05/31/94	Hirose	434	322	01/27/93
A14.	5,306,154	04/26/94	Ujita et al.	434	218	03/05/92
A15.	5,302,132	04/12/94	Corder	434	156	04/01/92
A16.	4,637,797	01/20/87	Whitney et al.	434	118	01/11/85

### **Prior Art Cited by Applicants**

While the filing of prior art statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper prior art statement, Form PTO-1449 shall be accompanied by an explanation of relevance of each listed item, a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiner:	Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 Sheet 2 of 2

Applicant:

C. Bret Elzinga et al.

Att'y Docket No. 6922.34

Serial No.:

10/632,892

Filing Date:

July 31, 2003

For:

SYSTEMS AND METHODS FOR PROVIDING A DYNAMIC CONTINUAL

IMPROVEMENT EDUCATIONAL ENVIRONMENT

Examiners will consider all prior art citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as prior art cited by the Examiner on Form PTO-892.

The reference designations "A1", "A2", etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A", "B", "C", etc. on Office Action Form PTO-1142.

T	D. 0. 11. 1
Examiner:	Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.





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### CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence and the documents listed below are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 13, 2003.

David B. Tingey Attorney for Applicant Registration No. 52,289

Transmitted: Transmittal for Information Disclosure Statement

Information Disclosure Statement

Form PTO-1449 Listing of All References Legible Copies of All Listed References

Postcard

DBT:jrj